

Anti-Money Laundering/Know Your Customer Questionnaire COMPANY PROFILE

INTRODUCTION

Cassa Rurale ed Artigiana di Cantù Banca di Credito Cooperativo Soc. Coop. is firmly committed to participate in international efforts to combat money laundering and terrorism and criminal activities financing.

Italy is a country member of the Financial Action Task Force (FATF) and of the European Union (EU) and has enacted laws and rules designed to implement the anti-money laundering policies of both FATF and the EU. The goal of these laws is to detect and prevent money laundering and potential terrorist financing.

INFORMATION ON INSTITUTION

- **Legal Name:** Cassa Rurale ed Artigiana di Cantù Banca di Credito Cooperativo Soc. Coop
- **Banking License:** 719, issued by Banca d'Italia in 1936
- **MFI id (Monetary Financial Institution):** IT0000100887308, issued by BCE
- **IVASS (Register of intermediaries) code:** D000027067 sezione D
- **SWIFT address:** ACARIT22
- **Physical address:** Corso Unità d'Italia 11 – 22063 Cantù (CO), Italy
- **Principal Place or Business:** as above
- **Location or head office:** as above
- **Principal business line:** the bank's business is focused on Retail Banking. Banking business is mainly focused on householders, small business and medium-sized corporates.
- **Websites:** <http://www.cracantu.it/>
- **ABI (Italian Banking Association) code:** 8430/1
- **LEI code:** 213800Y98OCZVJIM5C04
- **FATCA status:** reporting FFI of IGA1 country
- **FATCA Global intermediary identification number (GIIN):** T73MJP.99999.SL.380
- **Private owned by:** small owners
- **Shares:** Cassa Rurale ed Artigiana di Cantù shares are not listed in any stock exchange market.

OTHER INFORMATIONS

- **Laws and/or regulation in place covering anti-money laundering and anti-terrorist financing:**
the Third EU Directive has been implemented by the legislative decree of November 21st 2007, n.231 *"Accomplishment of the directive 2005/60/CE concerning the use of the financial system's prevention for the sake of laundering of income deriving from criminal activities and of terrorism funding as well as of the directive 2006/70/CE foreseeing the implementation measures"* and legislative decree of June 22nd 2007, n. 109 *"Measures for preventing, contrasting and repressing the terrorism funding and the activity of Countries threatening peace and international security, putting into effect the directive 2005/60/C"*;
- **Primary regulatory bodies that supervise the Financial Institution:**
 - **European Central Bank (ECB):** Sonnemannstrasse, 22 -60314 - Frankfurt am Main, Germany
 - **Banca d'Italia:** Via Nazionale, 91 - 00184 – Rome, Italy;
 - **Unità di Informazione Finanziaria (UIF),** member of FATF, is the Financial Intelligence Unit for suspicious activities and transactions: Banca d'Italia, Largo Bastia 35 - 00181 – Rome, Italy;
 - **CONSOB:** Via Giovanni Battista Martini, 3 - 00198 – Rome, Italy;
 - **IVASS (Istituto per la Vigilanza sulle assicurazioni):** Via del Quirinale, 21 – 00187 – Rome, Italy.

POLICIES AND PROCEDURES

Please find on our website the "Wolfsberg AML Questionnaire" and the "USA Patriot Act", that provide an overview of our Financial Institution's anti-money laundering policies and practices.

Date: june 7th, 2016

Cassa Rurale ed Artigiana di Cantù
Banca di Credito Cooperativo
Soc. Coop.



Gianbattista Lanzi
General Manager

